

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/399,687	09/21/99	TRAN	J NC13800

0242/1014

NOT ASSIGNED

ROBERT H KELLY  
HOLLAND & HART LLP  
555 17TH STREET SUITE 3200  
P O BOX 8749  
DENVER CO 80201

2731

DATE MAILED:

10/14/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

D. King  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202



01-06-00

SECTOR/\$

#3

PATENT  
Attorney Docket No. NC13800  
Client/Matter No. 40725.830015.001  
Express Mail No. EL533866763US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Jean-Marie Tran and Antti Kansakoski ) Group Art Unit: 2731  
)  
Serial No. 09/399,687 ) Examiner:  
)  
Filed: September 21, 1999 )  
)  
For: APPARATUS, AND )  
ASSOCIATED METHOD, FOR )  
EFFECTUATING POWER CONTROL )  
OF A COMMUNICATION DEVICE )

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Response to Notice to File Missing Parts;
2. Copy of Notice to File Missing Parts of Application Filing Date Granted, Form PTO-1533 and surcharge payment of \$130;
3. Executed Combined Declaration and Power of Attorney;
4. Recordation Form Cover Sheet PTO 1595 with Executed Assignment and Recording Fee of \$40.00;
5. Petition for a One-Month Extension of Time, and fee of \$110.00;
6. Certificate of Mailing By Express Mail;
7. Return postcard;

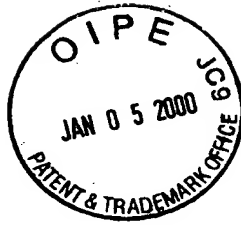
relating to the above application, were deposited as "Express Mail", Mailing Label No. EL533866763US with the United States Postal Service, addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C., 20231, January 5, 2000.

January 5, 2000  
Date

Paulette Ingwersen  
Mailer

5 Jan 00  
Date

Robert H. Kelly  
Robert H. Kelly  
Reg. No. 33,922  
Attorney for Applicant  
HOLLAND & HART <sup>LLP</sup>  
555-17th Street, Suite 3200  
Post Office Box 8749  
Denver, Colorado 80201  
(303) 295-8563



PATENT  
Attorney Docket No. NC13800  
Client/Matter No. 40725.830015.001  
Express Mail No. EL53866763US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jean-Marie Tran and Antti Kansakoski

Serial No. 09/399,687

Filed: September 21, 1999

For: APPARATUS, AND  
ASSOCIATED METHOD, FOR  
EFFECTUATING POWER CONTROL  
OF A COMMUNICATION DEVICE

Group Art Unit: 2731

Examiner:

RESPONSE TO NOTICE TO FILE MISSING PARTS

BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

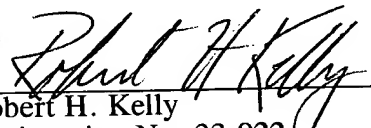
Sir:

In response to the Notice to File Missing Parts of Application, Filing Date Granted, mailed October 14, 1999, submitted herewith is a signed Combined Declaration and Power of Attorney for Patent Application; a check in the amount of \$130 to cover the surcharge for a large entity; and a copy of the Form PTO-1533. Any fee deficiency associated with this communication may be charged to Deposit Account No. 08-2623.

In view of the large entity status to which the application is entitled, it is believed that the original filing fee paid was correct and that only the surcharge fee remains to be paid.

Also enclosed is an Assignment and Recordation Cover Sheet to the Assignment Branch.

Dated: 5 Jan, 2000

  
Robert H. Kelly  
Registration No. 33,922  
Attorney for Applicant  
HOLLAND & HART LLP  
555 17th Street, Suite 3200  
Post Office Box 8749  
Denver, Colorado 80201  
303/295-8563